

03/15/01
J1035 U.S. PTO

33-16-01

A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231
Sir:

JC918 U.S. PTO
09/809699
03/15/01

Transmitted herewith for filing is the Patent Application of:

Inventor: William J. Anderl, et al.
For: COMPACT OPTICAL TRANSCEIVERS INCLUDING THERMAL DISTRIBUTING
AND ELECTROMAGNETIC SHIELDING SYSTEMS AND METHODS THEREOF

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION

ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are:

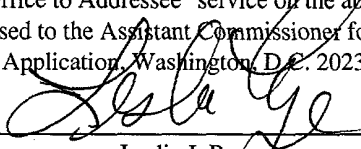
- ☒ 34 Pages of Specification and 1 Abstract
- ☒ 8 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☐ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of Japanese Application
- ☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed		No. Extra
Basic Fee			
Total Claims	30	-20 =	10
Indep. Claims	9	-3 =	6
<input type="checkbox"/> Multiple Dependent Claim Presented			

Rate	Fee
	\$ 710.00
x \$18.00=	180.00
x \$80.00=	480.00
\$270.00	\$ 0.00
TOTAL	1,370.00

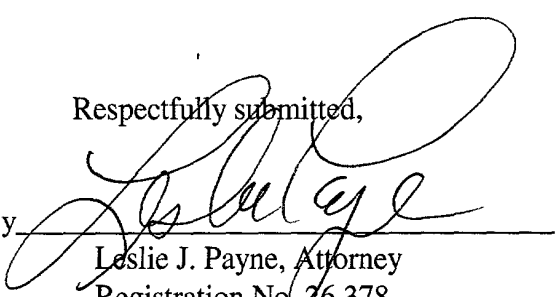
EXPRESS MAIL CERTIFICATE
Express Mail Label No.: EK595506133US
Date: March 15, 2001
I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Leslie J. Payne

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$1,370.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

By


Leslie J. Payne, Attorney
Registration No. 26,378

Date: March 15, 2001

IBM Corporation
Intellectual Property Law, Dept. 917
3605 Highway 52 North
Rochester, MN 55901

(507) 253-2555 voice
(507) 253-2382 fax